

#3

FORMALITIES LETTER



OC000000005297690

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/578,237	05/24/2000	Brian K. McMillan	AFX-8807

321
SENNIGER POWERS LEAVITT AND ROEDEL
ONE METROPOLITAN SQUARE
16TH FLOOR
ST LOUIS, MO 63102



Date Mailed: 08/04/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$240.
 - \$162 for 9 total claims over 20.
 - \$78 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- Small Entity Statement is missing.
- **The balance due by applicant is \$ 1060.**

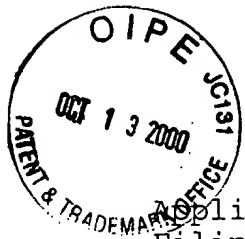
*A copy of this notice **MUST** be returned with the reply.*

M. May
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/20/2000 GTEFFERA 00000006 09578237

01 FC:101	710.00 OP
02 FC:105	130.00 OP
03 FC:103	162.00 OP
04 FC:102	80.00 OP



FEE TRANSMITTAL

SECTOR\$

#3

Application Number 09/578,237
Filing Date May 24, 2000
First Named Inventor Brian K. McMillin
Group Art Unit 2744
Attorney Docket Number AFX 8807

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345, in the name of Senniger, Powers, Leavitt & Roedel.
- ☒ The Commissioner is hereby authorized to charge any additional filing and claim fees under 37 CFR 1.16 and application processing fees under 37 CFR 1.17 to Deposit Account No. 19-1345, in the name of Senniger, Powers, Leavitt & Roedel.
2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345, in the name of Senniger, Powers, Leavitt & Roedel.

FEE CALCULATION

1. ☒ BASIC FILING FEE \$710.00 (Type: Utility)
Entity Status: Large
2. ☒ CLAIM FEE \$242.00
Total Claims 29
Independent Claims 4
Multiple Dependent Claims 0
3. ☒ ADDITIONAL FEES \$240.00
☒ Surcharge - late filing fee or oath
☐ Surcharge - late provisional filing fee or cover sheet
☒ Extension for reply within first month
☐ Notice of Appeal
☐ Filing a Brief in Support of an appeal
☐ Request for Reexamination
☐ Petitions to the Commissioner
☐ Submission of Information Disclosure Statement
☐ Recording each patent assignment per property
☐ Other: _____

TOTAL AMOUNT OF PAYMENT \$1,192.00

Frank R. Agovino
Frank R. Agovino, Reg. No. 27,416

Oct 10, 2000
Date

FRACwa

SENNIGER, POWERS, LEAVITT & ROEDEL
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PATENTS, TRADEMARKS, COPYRIGHTS
AND RELATED MATTERS

OF COUNSEL
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STUART N. SENNIGER
(1921-1997)

October 9, 2000

Application of Brian K. McMillin Art Unit 2744
Serial No. 09/578,237
Filed May 24, 2000
For WIRELESS TRANSCEIVER NETWORK EMPLOYING NODE-TO-NODE
MESSAGING
Attorney Docket AFX 8807

Office of Initial Patent Examination's
Customer Service Center
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

We have received the filing receipt in the above-referenced U.S. patent application.

The receipt shows the inventor's last name as "McMillan" whereas the correct information is "McMillin."

A copy of the Filing Receipt with the changes noted thereon is enclosed.

Please send us a corrected filing receipt. If there are any fees associated with this request, the Commissioner is hereby authorized to charge Deposit Account No. 19-13445.

Respectfully submitted,

Frank Ragovin

Frank R. Agovino, Reg. No. 27,416

FRACwa
*Enclosure

FILING RECEIPT



OC000000005297689



RECEIVED AUG 10 2000

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

FRA

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/578,237	05/24/2000	2744	0	AFX-8807	24	29	4

321
 SENNIGER POWERS LEAVITT AND ROEDEL
 ONE METROPOLITAN SQUARE
 16TH FLOOR
 ST LOUIS, MO 63102

Date Mailed: 08/04/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

McMillin

Brian K. McMillan, Dallas, TX ;

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/136,539 05/28/1999

Foreign Applications

If Required, Foreign Filing License Granted 08/04/2000

Title

Wireless transceiver network employing node-to-node data messaging

Preliminary Class

455

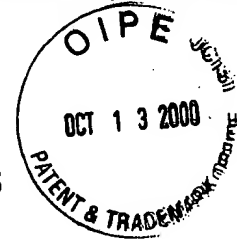
Data entry by : MAY, MOLIKI

Team : OIPE

Date: 08/04/2000



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**



GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



AFX 8807
PATENT

#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Brian K. McMillin
Serial No. 09/578,237
Filed May 24, 2000
For WIRELESS TRANSCIVER NETWORK EMPLOYING NODE-TO-NODE DATA
MESSAGING

October 10, 2000

**LETTER IN RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION - FILING DATE GRANTED**

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS,

SIR:

In response to the "Notice to File Missing Parts of
Application - Filing Date Granted," dated August 4, 2000, the
time for response to which is extended to November 4, 2000, by
* the attached payment (\$110.00) of the fee required under 37
* C.F.R. §1.17(a)(1), enclosed is applicant's signed Declaration
and Power of Attorney in the above-referenced application. A
* copy of the Notice is returned herewith. Also enclosed is
\$130.00 in payment of the surcharge and \$952.00 in payment of the
filing fee.

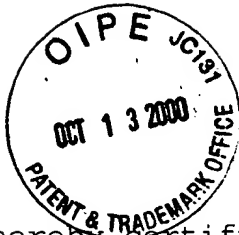
An assignment by the inventor to AFX Technology Group
International, Inc. is being mailed today.

Respectfully submitted,

Frank R. Agovino

Frank R. Agovino, Reg. No. 27,416
SENNIGER, POWERS, LEAVITT & ROEDEL
One Metropolitan Square, 16th Floor
St. Louis, Missouri 63102
(314) 231-5400

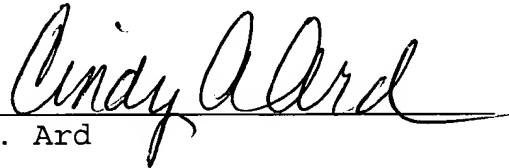
#3



AFX 8807
PATENT

CERTIFICATE OF MAILING

I hereby certify that the foregoing Response to Notice to File Missing Parts of Application - Filing Date Granted in the application of Brian K. McMillin, Serial No. 09/578,237, filed May 24, 2000 is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231 on this 10th day of October, 2000.


Cindy A. Ard

FRACaa
*Enclosures